

# IV. WORLD CHAMPIONSHIPS

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### 4.01 Barclays ATP World Tour Finals - Singles

#### A. Competition Format

The tournament shall be a singles round robin format with eight (8) players. There shall be two (2) groups of four (4) players each with eight (8) seeds to be determined by the South African Airways ATP Rankings on the Monday after the last ATP World Tour tournament of the calendar year. All matches shall be the best of three (3) tie-break sets, including the final. The round robin shall determine the four (4) players for the semifinals with the format thereafter being a single elimination competition.

#### B. Entries

1) **Selection List.** The selection list for the event shall be:

- a) The top seven (7) players in the South African Airways ATP Rankings as of the Monday after the last ATP World Tour tournament of the calendar year; followed by
- b) Up to two (2) Grand Slam winners of that year, in order of their positions, positioned between eight (8) and twenty (20) in the South African Airways ATP Rankings as of that Monday; followed by
- c) Players positioned eight (8) and below in the South African Airways ATP Rankings as of that Monday.

2) **Direct Acceptances.** The top eight (8) players in the selection list shall qualify for the event as direct acceptances. Participation is mandatory, and all qualified players shall be entered. All direct acceptances must be at the tournament site to attend the official pre-tournament media conference and must be available for play through the completion of the round robin competition and the knock-out competition if eligible.

3) **Withdrawal.** Any withdrawal, before the official pre-tournament media conference starts, shall be replaced by the next highest positioned player on the selection list, who shall be qualified as a direct acceptance.

4) **Alternate(s).**

- a) The next highest positioned player on the selection list (who is not a direct acceptance at the time of the official pre-tournament media conference) shall be designated as the alternate and shall replace any player who subsequently withdraws. The alternate must appear at the official pre-tournament media conference and remain available through the start of the last scheduled round robin match.
- b) Additional alternates may be selected by the ATP to fill the draw, based on the selection list, upon terms satisfactory to the ATP. Participation of such additional alternates is not mandatory.
- c) The alternate(s) is eligible to play in the single elimination competition and to receive points and prize money if he qualifies.
- d) If the alternate(s) does not play in the draw, then a fee shall be paid to the alternate(s). If the alternate(s) is inserted for the second or third round robin match, then he shall receive the alternate fee plus any prize money and points won. If the alternate(s) replaces a player that does not compete in his first round robin match, the alternate(s) becomes a direct acceptance and does not receive the alternate fee.

#### C. Failure To Participate in the Barclays ATP World Tour Finals

- 1) If a player, qualified for the Barclays ATP World Tour Finals as a direct acceptance or designated as the alternate, fails or refuses to participate in this event, except

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for bona fide injury or other reason which constitutes good cause, the player shall not be in good standing.

- 2) All direct acceptances and the alternate must appear at the site of the event(s) as determined by the ATP and participate in the pre-tournament media conference.

Failure to appear shall result in a penalty of five percent (5%) of total ATP prize money earned during the ATP circuit year.

### D. Order of Play

#### Round Robin

- 1) The field shall be divided into two (2) groups of four (4) players each. The top-seeded player shall be placed in Group "A" and the second-seeded player shall be placed in Group "B". Players seeded three (3) and four (4), five (5) and six (6), and seven (7) and eight (8), shall then be drawn in pairs with the first drawn placed into Group "A."
- 2) Each player shall play every other player in his group to determine the top two (2) players in each group.
- 3) The final standings of each group shall be determined by the first of the following methods that apply:
  - a) Greatest number of wins;
  - b) Greatest number of matches played;
  - c) Head-to-head results if only two (2) players are tied,
  - d) If three (3) players are tied, then:
    - i) If three (3) players each have one win, a player having played less than all three (3) matches is automatically eliminated and the player advancing to the single elimination competition is the winner of the match-up of the two (2) players tied with 1-2 records; or
    - ii) Highest percentage of sets won; or
    - iii) Highest percentage of games won.
  - iv) If (i), (ii) or (iii) produce one (1) superior player (first place), or one (1) inferior player (third place), and the two (2) remaining players are tied, the tie between those two (2) players shall be broken by head-to-head record.
- 4) If ties still exist after the above procedures, the ATP shall make the final determination.
- 5) In applying the tie-breaking procedures, a conduct default or retirement shall count as a straight-set win or loss. However, games won or lost in matches with the defaulting or retiring player shall not be counted in the application of subsection 3.d. (iii) above. A player who retires during the round robin because of illness or injury may continue in the competition if it is approved by the tournament doctor.
- 6) Any player who is defaulted pursuant to the ATP Code during the round robin competition shall be defaulted from all other matches in the Championship and the ATP default provisions shall apply, except for the following circumstances:
  - a) The loss of physical condition; or
  - b) Dress and Equipment.
- 7) Any player who withdraws from any round robin match after the first round shall not be eligible for the single elimination competition.

### E. Single Elimination Competition

- 1) The winner of each group shall be placed in separate semifinal brackets. The runner-up of each group shall be placed in the semifinal bracket with the winner of the opposite group.

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- 2) The event shall be completed with a single elimination competition for the semifinals and final.
- 3) There shall be no playoff for the third-and fourth-place positions.

### F. Prize Money and Points

Final standings at the end of the tournament shall determine the prize money and South African Airways ATP Rankings (singles) points earned.

## 4.02 Barclays ATP World Tour Finals - Doubles

### A. Competition Format

The tournament shall be a doubles round robin format with eight (8) teams. There shall be two (2) groups of four (4) teams each with eight (8) seeds to be determined by the 2009 ATP Doubles Team Rankings on the Monday after the last ATP World Tour tournament of the calendar year. All matches shall be two (2) tie-break sets with a deciding Match Tie-break (10 point) at one (1) set all. The round robin shall determine the four (4) teams for the semifinals with the format thereafter being a single elimination competition.

### B. Entries

1) **Selection List.** The selection list for the event shall be:

- a) The top 7 teams in the 2009 ATP Doubles Team Rankings as of the Monday after the last ATP World Tour tournament of the calendar year; followed by
- b) Up to two (2) Grand Slam winners of that year, in order of their positions, positioned between eight (8) and twenty (20) in the 2009 ATP Doubles Team Rankings as of that Monday; followed by
- c) Teams positioned eight (8) and below in the 2009 ATP Doubles Team Rankings as of that Monday.

2) **Direct Acceptances.** The top eight (8) teams in the selection list shall qualify for the event as direct acceptances. Participation is mandatory, and all qualified teams shall be entered. All direct acceptances must be at the tournament site to attend the official pre-tournament media conference and must be available for play through the completion of the round robin competition and the knock-out competition if eligible.

3) **Withdrawal.** All eligible teams shall be entered by the ATP; however, teams may withdraw through the Monday after the last ATP World Tour tournament of the year. Any withdrawal, before the official pre-tournament media conference starts, shall be replaced by the next highest positioned team on the selection list, who shall be qualified as a direct acceptance.

4) **Alternate(s).**

- a) On the Monday after the last ATP World Tour tournament of the year, the next highest positioned team shall be asked to confirm its status as the alternate team. Alternate team(s) may be selected by the ATP to fill the draw upon terms satisfactory to the ATP. Any withdrawal after the official pre-tournament media conference through the start of the last scheduled round robin match shall be filled with the alternate team(s). The alternate team must appear at the official pre-tournament media conference and remain available through the start of the last scheduled round robin match.
- b) Additional alternate teams may be selected by the ATP to fill the draw, based on the selection list, upon terms satisfactory to the ATP. Participation of such additional alternate teams is not mandatory.
- c) The alternate team(s) is eligible to play in the single elimination competition and to receive points and prize money if they qualify.

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- d) If the alternate team(s) does not play in the draw, then a fee shall be paid to the alternate team(s). If the alternate team(s) is inserted for the second or third round robin match, then they shall receive the alternate fee plus any prize money and points won. If the alternate team(s) replaces a team that does not compete in their first round robin match, the alternate team(s) becomes a direct acceptance and does not receive the alternate fee.

### C. Order of Play

#### Round Robin

- 1) The field shall be divided into two (2) groups of four (4) teams each. The top-seeded team shall be placed in Group "A" and the second-seeded team shall be placed in Group "B". Teams seeded three and four, five and six, and seven and eight, shall then be drawn in pairs with the first drawn placed into Group "A".
- 2) Each team shall play every other team in their group to determine the top two (2) teams in each group.
- 3) The final standings of each group shall be determined by the first of the following methods that apply:
  - a) Greatest number of wins;
  - b) Greatest number of matches played;
  - c) Head-to-head results if only two (2) teams are tied;
  - d) If three (3) teams are tied, then:
    - i) If three (3) teams each have one (1) win, a team having played less than all three (3) matches is automatically eliminated and the team advancing to the single elimination competition is the winner of the match-up of the two (2) teams tied with 1-2 records; or
    - ii) Highest percentage of sets won; (Winning the MTB counts as one (1) set won); or
    - iii) Highest percentage of games won. (Winning the MTB counts as one (1) game won); or
    - iv) If (i), (ii) or (iii) produce one (1) superior team (first place), or one (1) inferior team (third place), and the two (2) remaining teams are tied, the tie between those two (2) teams shall be broken by head-to-head record.
- 4) If ties still exist after the above procedures, the ATP shall make the final determination.
- 5) In applying the tie-breaking procedures, a conduct default or retirement shall count as a straight-set win or loss. However, games won or lost in matches with the defaulting or retiring team shall not be counted in the application of subsection 3.d. (iii) above. A team who retires during the round robin because of illness or injury may continue in the competition if it is approved by the tournament doctor.
- 6) Any team who is defaulted pursuant to the ATP Code during the round robin competition shall be defaulted from all other matches in the Championship and the ATP default provisions shall apply, except for the following circumstances:
  - a) The loss of physical condition; or
  - b) Dress and Equipment.
- 7) Any team who withdraws from any round robin match after the first round shall not be eligible for the single elimination competition.

### D. Single Elimination Competition

- 1) The winner of each group shall be placed in separate semifinal brackets. The runner-up of each group shall be placed in the semifinal bracket with the winner of the opposite group.

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- 2) The event shall be completed with a single elimination competition for the semifinals and final.
- 3) There shall be no playoff for the third-and fourth-place positions.

### E. Prize Money and Points

Final standings at the end of the tournament shall determine the prize-money and ATP Doubles Rankings points earned.

## 4.03 ARAG ATP World Tour Team Championship

### A. Competition Format

#### 1) Round Robin Competition

- a) The official ARAG ATP World Tour Team Championship is a competition for eight (8) teams, each team composed of a minimum of three (3) players and a maximum of five (5) players from the same country.
- b) A player's citizenship as of the year-end South African Airways ATP Rankings (singles) and ATP Doubles Rankings shall determine a player's country. This restriction will affect the seven (7) directly accepted teams only.
- c) The competition shall be a round robin format with two (2) groups of four (4) teams each with entries to be determined by the year-end South African Airways ATP Rankings (singles) positions of the top two (2) singles players on each team. All matches shall be the best of three (3) tie-break sets.
- d) Each team shall play each other in its group to determine the top team in each group. The top team in each group shall play in the final to determine the ARAG ATP World Team Champion.

#### 2) Daily Order of Play - The Tie

- a) A tie consists of two (2) singles matches and one (1) doubles match. The two (2) singles matches shall be played first and the order of matches shall be determined by the supervisor/referee. The doubles match must be played after the two (2) singles matches. All singles matches shall be the best of three (3) tie-break sets. All doubles matches shall be two (2) tie-break sets with a deciding Match Tie-Break (10 point) at one (1) set all.
- b) For each tie, the highest-positioned (hereafter, number one) singles players from each team shall compete against each other and the second-positioned (hereafter, number two) singles players from each team shall compete against each other. The order of positions in each team shall be based upon the South African Airways ATP Rankings (singles) dated approximately six (6) days prior to the start of the event. Players not able to play singles and/or doubles because of an ATP Challenger Tour or ATP World Tour event may have their singles and/or doubles match substituted by another team member.
- c) Upon the completion of the doubles match, the winner of the tie shall be the team that wins at least two (2) of the three (3) matches.

#### 3) Determination of Finalist Teams

- a) The teams shall be ranked within each round robin in the following manner:
  - i) The team that has won the most ties is ranked highest.
  - ii) In a tie between two (2) teams, the team that won their head-to-head tie in their group shall be ranked higher.
  - iii) In a tie between three (3) teams, the following shall apply:
    1. The team that has won the most matches is ranked highest;
    2. The team that has the highest percentage of sets won is ranked highest;
    3. The team that has the highest percentage of games won is ranked highest;
  4. If (i), (ii) or (iii) produce one superior team (first place), or one inferior team

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(third place), and the two remaining teams are tied, the tie between those two teams shall be broken by head-to-head record.

- b) In the event that after the conclusion of the round robin competition, one (1) of the two (2) qualified teams is unable to appear, then the next highest ranked team selected from the same group as the withdrawing team shall compete in the final tie.
  - c) Unplayed, defaulted and retired matches shall be scored as completed for purposes of sets and games. (i.e. 60 60)
  - d) For the purpose of scoring the number of games a doubles team has won or lost, the Match Tie Break shall be scored as 76.
- 4) **Hotel Accommodations.** Accommodations for the teams [maximum of four (4)] rooms per team shall be free of charge throughout the event, starting on the Thursday prior to the start of the competition.
- 5) **Round Robin Composition**
- a) The teams shall be positioned from 1 to 8 in accordance with the South African Airways ATP Rankings (singles) dated six (6) days prior to the start of the competition. Team 1 shall be the team with the lowest total of number one's and number two's entry ranking positions. Team 2 shall be the team with the second lowest total, and so on. In the case where two (2) teams have the same total, then the team with the highest positioned singles player shall be selected first.
  - b) Team 1 shall be placed in one round robin group, and Team 2 shall be placed in the other round robin group. These groups shall be named Red and Blue.
  - c) Placement of the other teams shall be determined by the drawing of lots; the first drawn between Teams 3 and 4 shall be placed in the Red Group and the second drawn in the Blue Group. This procedure is repeated for Teams 5 and 6 and for Teams 7 and 8.

### B. Prize Money

Winning Team	first place	244,000 €
Finalist Team	second place	158,000 €
2nd in Group	per team	70,000 €
3rd in Group	per team	47,000 €
4th in Group	per team	27,000 €

### 1) Breakdown

Player winning most total doubles matches	10,000 €
Player winning 2nd place	8,400 €
Player winning 3rd place	5,800 €
Player winning 4th place	3,300 €
Player winning 5th place	1,650 €
Player winning 6th place	850 €

- a) Team Prize Money will be as follows:
- b) Player Doubles Bonus Money (for each player) will be as follows:
  - i) The Player Doubles Bonus shall be awarded to the players who have won the most doubles matches with the priority given to the most matches played in

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the case of a tie. For example, 3 wins/0 losses; 2 wins/1 loss; 2 wins/0 losses; 1 win/2 losses; 1 win/1 loss; 1 win/0 loss.

- ii) Ties shall be broken in the same manner as team ties. In the case of the players still being tied, the prize money between these players shall be divided equally.
- c) Doubles Final Match Prize Money. The doubles team winning the match in the final shall receive an additional 8,000 € (4,000 € per player).
- 2) **Team Prize Money Distribution.** The Team prize money Distribution Formula shall be used if the two (2) team members that qualify the team cannot agree on their own breakdown. The year-end South African Airways ATP Rankings (singles) positions shall be used for the following formulas:
- a) Third player of the team who is on-site will receive:
- |                               |               |        |
|-------------------------------|---------------|--------|
| 30% of total team prize money | if positioned | 1-10   |
| 25% of total team prize money | if positioned | 11-25  |
| 20% of total team prize money | if positioned | 26-50  |
| 15% of total team prize money | if positioned | 51-100 |
| 10% of total team prize money | if positioned | 101+   |

- b) The top two (2) players will divide the remainder of the total team prize money as follows:

Difference in Positions	First Player	Second Player
Less than 6	50%	50%
6 to 10	55%	45%
11 to 25	60%	40%
26 to 50	70%	30%
More than 50	80%	20%

- c) If a fourth player and/or fifth player is/are included on the team, then every player should give the same percentage of prize money to such player as determined by the tournament committee.

### 3) Travel.

- a) Each nominated team captain present on-site shall be paid 2,500 €
- b) The two finalist captains shall receive 3,500 € each.
- 4) **Tax.** Players and Captains shall be subject to income tax deductions excluding VAT, which shall be paid by the tournament.

### C. Schedule of Play

The following is the schedule of play unless otherwise determined by the tournament committee and supervisor:

- 1) The eight teams shall play matches on the first six days to determine the two winners of the round robin groups. A team match will be played over one or two days as determined by the tournament committee and supervisor. For the second round robin match (tie) the winners of the first match (tie) shall be placed against the losers of the first match (tie) in their group.
- 2) All players and captains must be available for play on Sunday. The determination of matches for play on the first day of the event shall be based on the availability of players after considering the results from the prior week's ATP World Tour tournaments. Players may be required to play the day following a semi-final or final of an ATP Challenger Tour or the ATP World Tour Masters 1000 Madrid.
- 3) The selection of the team singles pairings shall be made automatic as determined

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by the South African Airways ATP Rankings (singles) on the Monday prior to the start of the competition.

- 4) The final shall be held on the 7th day (Saturday) of the tournament.
- 5) In the event of rain and/or unforeseen circumstances, the matches shall be moved to an indoor court with the same red clay surface as the Rochusclub courts.
- 6) The tournament's committee and/or supervisor reserves the right to hold matches indoors, change the schedule of play, alter starting times and make other changes deemed necessary for the smooth running of the competition.

### D. Teams

- 1) **Entry.** The teams shall be selected and entered in the following manner:
  - a) The first seven (7) team entrants shall be based on the lowest total of the positions of the two (2) highest positioned players from the same country as of the year-end South African Airways ATP Rankings (singles). In the case where two (2) teams have the same total, and then the team with the highest positioned singles player shall be selected first.
  - b) There shall be one (1) wild card team selected by the tournament committee. The country selected must be established and recognized at the time of selection. Players for the wild card team shall be determined by their positions at the time of selection.
- 2) **Entry - Acceptance of**
  - a) Players shall be sent written notification of qualification when their respective team has been nominated.
  - b) Players must notify in writing the ATP or the Rochusclub, Dusseldorf, of their acceptance of entry; notification of acceptance may be given to any ATP Regional Office or tour manager.
  - c) The acceptance deadline for entry is Monday, March 30, 2009 (the middle Monday of Miami).
  - d) Failure to notify in writing the ATP or the Rochusclub either of a player or team's acceptance shall result in the next player or team being selected to participate in the competition.
- 3) **Qualification.** In order to qualify for the competition, a team that has accepted entry must comply with the following requirements:
  - a) The team is composed of the two (2) highest positioned and eligible players based on the year-end South African Airways ATP Rankings (singles).
  - b) A third player (with a South African Airways ATP Rankings (singles) in the top 200 or an ATP Doubles Rankings in the top 100) must be named at least forty-one (41) days prior to the start of the competition, and must be on-site for the competition.
  - c) If one (1) of the three (3) members withdraws because of injury or illness, then the team captain must immediately nominate a fourth player to be on-site for the team's next match.
  - d) A fourth player must be nominated and a fifth player may be named to the team at the 10:00 a.m. Sunday Captains' Meeting, to be eligible as a team member or substitute at any time. If the fourth and/or fifth player is named after the Captain's Meeting the player is eligible only as a substitute for an injured or ill player. The fourth and/or fifth player does not have to be on-site unless one of the three (3) members withdraws because of injury or illness.
  - e) In the case of illness, injury or unforeseen circumstances, the supervisor may allow the team captain to nominate a substitute player during the competition.

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### 4) Withdrawal of Entry

- a) The deadline for withdrawal of entry for a player shall be forty-one (41) days prior to the start of the competition. No player shall withdraw from the competition for any reason after the withdrawal deadline, except as provided above in Requirement of Teams.
- b) Violation of this section shall be penalized consistent with the player Code of Conduct.

### E. Team Captain

#### 1) Designation of Captain

- a) The number one player on each team shall appoint a captain, provided such person meets one (1) of the following criteria:
  - i) A qualified coach of a national federation; or
  - ii) A member of the ATP Coaches Division; or
  - iii) A Division I player member.
- b) In the event the captain is not on-site or not available, the highest positioned player on hand shall assume the role of captain.
- c) The captain must be on-site for the duration of that team's participation in the competition. The captain is to be confirmed to the tournament committee forty-one (41) days prior to the start of the competition. The captain/coach shall be subject to the player Code of Conduct.

#### 2) Duties of Captain. The duties of the captain are as follows:

- a) Name the third and/or fourth team member;
- b) Act as official representative for his respective team;
- c) Attend all team meetings; and
- d) Nominate an alternative captain to sit on-court in the event two (2) matches are played simultaneously.

#### 3) Player Designations

- a) The top two (2) singles players from each country at time of team qualification and/or wild card selection are automatically designated for each tie. Change may be allowed for reasons of ranking, medical conditions, unforeseen circumstances, or as approved by the ATP supervisor.
- b) The team captains must give in writing the names of their doubles team to the referee and opposing captain no later than fifteen (15) minutes after completion of the last singles match. The doubles team may be chosen from any players named to the team.
- c) There shall be a maximum of one forty-five (45) minute interval between the end of the last singles match and the start of the doubles match if one or more of the doubles players competed in the last singles match. If none of the players designated for the doubles has competed in the last singles match, the doubles shall start thirty (30) minutes after completion of the last singles match.
- d) The captain must name the doubles team one hour before the start of the day's play if his team has no singles matches on that day.
- e) The team captain may not replace a player except in the case of illness, injury or unforeseen circumstances approved by the ATP supervisor. Illness or injury must be documented by the official doctor of the WTC.
  - i) Any player who withdraws from the singles after the order of play is released shall not be eligible for doubles on that same day.
  - ii) In the event of match changes, the supervisor may allow reasonable time adjustments in the schedule.

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f) In the event of a conduct penalty, the supervisor after consultation with the tournament committee may decide to remove the offending player(s) for the remainder of the tie or event.

### **F. Jurisdiction Governing the Competition**

- 1) The competition is sanctioned and recognized by the ATP, Inc.
- 2) All players who enter and compete in the competition agree to be subject to the Rules and Regulations of the ATP, including, but not limited to, the Code of Conduct, as well as the Rules of Tennis.
- 3) The tournament committee, supervisor and referee shall determine and resolve all questions not considered in these Rules and Regulations.